



PATENT APPLICATION

TECH CENTER 1600/2900

MAY 06 2002

RECEIVED

#6  
5/10/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Sandeep GUPTA, et al.

Appln. No. 09/980,398 ✓

Group Art Unit: 1614

Confirmation No.: 3134

Examiner: Unknown

Filed: December 03, 2001

For: FUSED TRICYCLIC COMPOUNDS, PROCESS FOR THEIR PREPARATION, AND  
HERBICIDAL COMPOSITIONS CONTAINING THEM ✓

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

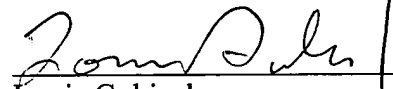
INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No. 09/980,398

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

EP 0406993, US 5,364,856 and Kost are the references cited in the International Search Report. The remaining references are of record in the U.S. parent application U.S. Serial No. 09/323,823, filed June 2, 1999.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

  
Louis Gubinsky  
Registration No. 24,835

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Date: May 3, 2002